

FORM PTO-1390 (Modified)
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S REFERENCE NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

KAM1-BN12

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/701504INTERNATIONAL APPLICATION NO.
PCT/JP99/02980INTERNATIONAL FILING DATE
May 10, 2000PRIORITY DATE CLAIMED
May 10, 1999

TITLE OF INVENTION

ARM FOR ELASTIC DOLL, METHOD FORMOLDING SAME AND MOLD THEREFOR

APPLICANT(S) FOR DO/EO/US

Kazuo Ohba

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☐ Other items or information:

U.S. APPLICATION NO. (IF KNOWN, SERIAL NUMBER) 09/70150		INTERNATIONAL APPLICATION NO. PCT/JP99/02980		ATTORNEY'S DOCKET NUMBER KAM1-BN12																																																																																					
21. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <div style="margin-left: 20px;"><input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00</div> <div style="text-align: right; margin-right: 50px;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS PTO USE ONLY																																																																																					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$860.00																																																																																					
<table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th style="width:15%;">CLAIMS</th><th style="width:20%;">NUMBER FILED</th><th style="width:20%;">NUMBER EXTRA</th><th style="width:10%;">RATE</th><th style="width:15%;"></th><th style="width:10%;"></th></tr></thead><tbody><tr><td>Total claims</td><td>23 - 20 =</td><td>3</td><td>x \$18.00</td><td>\$54.00</td><td></td></tr><tr><td>Independent claims</td><td>6 - 3 =</td><td>3</td><td>x \$80.00</td><td>\$240.00</td><td></td></tr><tr><td colspan="4">Multiple Dependent Claims (check if applicable). <input type="checkbox"/></td><td>\$0.00</td><td></td></tr><tr><td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS</td><td>=</td><td>\$1,154.00</td></tr><tr><td colspan="4">Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input type="checkbox"/></td><td></td><td>\$0.00</td></tr><tr><td colspan="4" style="text-align: right;">SUBTOTAL</td><td>=</td><td>\$1,154.00</td></tr><tr><td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).</td><td>+</td><td>\$0.00</td></tr><tr><td colspan="4" style="text-align: right;">TOTAL NATIONAL FEE</td><td>=</td><td>\$1,154.00</td></tr><tr><td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input checked="" type="checkbox"/></td><td></td><td>\$40.00</td></tr><tr><td colspan="4" style="text-align: right;">TOTAL FEES ENCLOSED</td><td>=</td><td>\$1,194.00</td></tr><tr><td colspan="4"></td><td style="text-align: right;">Amount to be:</td><td></td></tr><tr><td colspan="4"></td><td style="text-align: right;">refunded</td><td style="text-align: center;">\$</td></tr><tr><td colspan="4"></td><td style="text-align: right;">charged</td><td style="text-align: center;">\$</td></tr></tbody></table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total claims	23 - 20 =	3	x \$18.00	\$54.00		Independent claims	6 - 3 =	3	x \$80.00	\$240.00		Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00		TOTAL OF ABOVE CALCULATIONS				=	\$1,154.00	Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input type="checkbox"/>					\$0.00	SUBTOTAL				=	\$1,154.00	Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				+	\$0.00	TOTAL NATIONAL FEE				=	\$1,154.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input checked="" type="checkbox"/>					\$40.00	TOTAL FEES ENCLOSED				=	\$1,194.00					Amount to be:						refunded	\$					charged	\$		
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<div style="margin-bottom: 10px;"><input checked="" type="checkbox"/> A check in the amount of \$1,194.00 to cover the above fees is enclosed.</div> <div style="margin-bottom: 10px;"><input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</div> <div><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 16-2462 A duplicate copy of this sheet is enclosed.</div>																																																																																									
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <div style="display: flex; justify-content: space-between;"><div style="width: 45%; border: 1px solid black; padding: 5px;"><p>Joseph W. Price, Reg. No. 25,124 PRICE AND GESS 2100 S.E. Main St., Ste. 250 Irvine, CA 92614 Tel: 949/261-8433 Fax: 949/261-9071</p></div><div style="width: 50%; border: 1px solid black; padding: 5px;"><div style="margin-bottom: 10px;"><div style="display: flex; justify-content: space-between;">SIGNATURE</div></div><div style="margin-bottom: 10px;"><div style="display: flex; justify-content: space-between;">Joseph W. Price</div></div><div style="margin-bottom: 10px;"><div style="display: flex; justify-content: space-between;">NAME</div></div><div style="margin-bottom: 10px;"><div style="display: flex; justify-content: space-between;">25,124</div></div><div style="margin-bottom: 10px;"><div style="display: flex; justify-content: space-between;">REGISTRATION NUMBER</div></div><div style="margin-bottom: 10px;"><div style="display: flex; justify-content: space-between;">November 29, 2000</div></div><div style="margin-bottom: 10px;"><div style="display: flex; justify-content: space-between;">DATE</div></div></div></div>																																																																																									

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525 Rec'd PCT/PTC 29 NOV 2000

PRICE AND GESS

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**TRANSMITTAL LETTER TO THE UNITED STATES
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Applicant(s):

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Title:

ARM FOR ELASTIC DOLL, METHOD FOR MOLDING
SAME AND MOLD THEREFOR

Attorney's

Docket No.:

KAM1-BN12

"EXPRESS MAIL" MAILING
LABEL NO. EL227718351US

DATE OF DEPOSIT: November 29, 2000

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DRAWINGS - FOURTEEN (14) SHEETS

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ASSIGNMENT AND COVER SHEET

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